SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person*			2. Issuer Name and Ticker or Trading Symbol FAIR ISAAC CORP [FICO]							5. Relationship of Reporting Person(s) to Issuer (Check all applicable)							
<u>Wehmann James M</u>											Directo	-		Owner			
				3. Date of Earliest Transaction (Month/Day/Year)							C Officer below)	(give title	Othe belov	r (specify v)			
(Last) (First) (Middle)				12/08/2020							Executive Vice President						
181 METRO DRIVE																	
(Street)					4. If Amendment, Date of Original Filed (Month/Day/Year)							6. Individual or Joint/Group Filing (Check Applicable Line)					
SAN JOSE CA 95110											X Form filed by One Reporting Person						
P												Form f		e than One Rep	oorting		
(City)	(St	ate) (Zip)									Person					
		Tab	le I - Nor	ו-Deriv	ative S	ecurities Ac	quired,	Dis	posed of, o	or Ben	eficially	y Owned					
1. Title of Security (Instr. 3) 2. Trans. Date (Month/I			action Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year	3. Transa Code r) 8)		4. Securities Disposed Of 5)		5. Amour Securitie Beneficia Owned F	s Illy ollowing	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)					
					Code	v	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)							
Common Stock 12/08					8/2020		М		10,853	A	\$ <mark>0</mark>	78,	718	D			
Common Stock 12/08				8/2020		F		5,047(1)	D	\$ <mark>0</mark>	73,	671	D				
		-				curities Acqu lls, warrants						Owned					
1. Title of	2.	3. Transaction	3A. Deem	ed	4.	5. Number	6. Date E	. Date Exercisable		ble and 7. Title and		8. Price of	9. Number	r of 10.	11. Nature		

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Market Share Units	(2)	12/08/2020		М			4,006	12/08/2020	(3)	Common Stock	4,006	\$0.00	0	D	
Performance Share Units	(4)	12/08/2020		М			3,658	12/08/2018 ⁽⁵⁾	(3)	Common Stock	3,658	\$0.00	0	D	
Restricted Stock Units	(6)	12/08/2020		М			1,817	12/08/2017 ⁽⁷⁾	(3)	Common Stock	1,817	\$0.00	0	D	
Restricted Stock Units	(6)	12/08/2020		М			1,372	12/08/2018 ⁽⁷⁾	(3)	Common Stock	1,372	\$0.00	1,372	D	

Explanation of Responses:

1. Shares withheld by Company for payment of taxes due at vesting from earned performance share units, earned market share units, and restricted stock units.

2. Each earned market share unit represents a right to receive one share of Fair Isaac common stock contingent upon continued employment.

3. No expiration date.

4. Each earned performance share unit represents a right to receive one share of Fair Isaac common stock contingent upon continued employment.

5. The performance share units vest in three equal annual installments commencing on this date and one share will be delivered to the reporting person for each vested unit as soon as practicable thereafter.

6. Each restricted stock unit represents a right to receive one share of Fair Isaac common stock contingent upon continued employment.

7. The restricted stock units vest in four equal annual installments commencing on this date and vested shares will be delivered to the reporting person as soon as practicable thereafter.

Remarks:

/s/ Carrie H. Darling, Attorney-12/09/2020

<u>in-fact</u>

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.