## SEC Form 4

## FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940 OMB APPROVAL
OMB Number: 3235-0287
Estimated average burden
hours per response: 0.5

1. Name and Address of Reporting Person* <u>Merchant Rahul N</u>						2. Issuer Name and Ticker or Trading Symbol <u>FAIR ISAAC CORP</u> [ FICO ]								5. Relationship of Reporting Person(s) to Issuer (Check all applicable) X Director 10% Owner					
(Last) (First) (Middle) 181 METRO DRIVE						3. Date of Earliest Transaction (Month/Day/Year) 11/25/2013								Officer (give title below) Other (specify below)					
						4. If Amendment, Date of Original Filed (Month/Day/Year)								6. Individual or Joint/Group Filing (Check Applicable Line)					
(Street) SAN JOSE CA 95110															, , , , , , , , , , , , , , , , , , , ,				
					-									Form fi Person		e than	One Repor	ting	
(City)	(5		(Zip)																
			ble I - No							l, Di	sposed o	-							
1. Title of Security (Instr. 3) Date (Month/Date)					Execution D			ate, Transaction Code (Instr.		4. Securitie Disposed C				Form	: Direct Indirect str. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)			
									Code	v	Amount	(A) or (D)	Price	Transact (Instr. 3	tion(s)			(1150.4)	
Common Stock				11/2	11/25/2013				М		1,000	A	\$39.11	2,000			D		
Common Stock 11/2					5/2013				М		11,250	Α	\$25.87	13	13,250		D		
Common Stock 11/25/2					5/2013	2013			М		17,000	A	\$21.77	30	30,250		D		
Common Stock 11/25/2					<mark>5/20</mark> 13	2013		S		29,250	D	\$58.35 <sup>(</sup>	<sup>1)</sup> 1,000			D			
			Table II								osed of,			Owned					
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deem Executior if any (Month/Da	ied n Date,	4. Transact Code (Ins		5. Number on of		S, OptionS, Convert		sable and	7. Title an of Securit Underlyin Derivative (Instr. 3 ar	d Amount ies g Security	8. Price of Derivative Security (Instr. 5)	9. Numbe derivative Securitie: Beneficia Owned Following Reported Transacti (Instr. 4)	e s dly g	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Beneficial Ownership t (Instr. 4)	
					Code	v	(A)	(D)	Date Exercisa	ıble	Expiration Date	Title	Amount or Number of Shares						
Non- Qualified Stock Option (right to buy)	\$39.11	11/25/2013			М			1,000	02/12/20	13 <sup>(2)</sup>	02/06/2022	Common Stock	1,000	\$0.00	11,50	10	D		
Non- Qualified Stock Option (right to buy)	\$25.87	11/25/2013			М			11,250	02/01/20	11 <sup>(3)</sup>	01/31/2021	Common Stock	11,250	\$0.00	0		D		
Non- Qualified Stock Option (right to buy)	\$21.77	11/25/2013			М			17,000	02/02/20	11 <sup>(4)</sup>	02/01/2020	Common Stock	17,000	\$0.00	12,00	10	D		

**Explanation of Responses:** 

1. This transaction was executed in multiple trades at prices ranging from \$58.10 to \$58.77. The price reported above reflects the weighted average sale price. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares and prices at which the transactions were effected.

2. This option vested in full upon this date.

3. This option vested in full upon the date of grant.

4. This option vests in five equal annual installments commencing on this date.

**Remarks:** 

/s/Nancy E. Fraser, Attorney-infact 11/26/2013

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 $\ast$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.